

## Report of Routine Utilization Review Investigation Conducted in Conjunction With a Profile Audit Review

Claims Administrator: \_\_\_\_\_  
 Location: \_\_\_\_\_  
 Utilization Review Entity: \_\_\_\_\_

Number of Open Files: XXX				Requests Reviewed: XXX			
UR Requests for Qtr: XXX				Complaints Reviewed: XXX			
Item #	# of Times Cited	Total \$ Penalties Assessable	Total Penalties Abated	Total \$ Amount Collected	Balance \$ Due	Appealed	
						Yes	No
1	0	\$0	\$0	\$0	\$0		
2	0	\$0	\$0	\$0	\$0		
3	0	\$0	\$0	\$0	\$0		
4	0	\$0	\$0	\$0	\$0		
5	0	\$0	\$0	\$0	\$0		
6	0	\$0	\$0	\$0	\$0		
TOTAL	0	\$0	\$0	\$0	\$0		

## Performance Rating for Investigation of Claims Administrator for Randomly Selected Utilization Review Requests

Audit No: \_\_\_\_\_  
 Subject: \_\_\_\_\_  
 Location: \_\_\_\_\_

1. FACTOR FOR TIMELY RESPONSE TO REQUEST					
# late prospective responses	1	divide by # of prospective requests	10		
# late concurrent responses	2	divide by # of concurrent requests	10		
# late retrospective responses	3	divide by # of retrospective requests	10		
Totals	6	divide by	Totals	30.00	
				0.20000	
2. FACTOR FOR FAULTY NOTICE					
# faulty prospective responses	1	divide by # of prospective requests	10		
# faulty concurrent responses	2	divide by # of concurrent requests	10		
# faulty retrospective responses	1	divide by # of retrospective requests	10		
Totals	4	divide by	Totals	30	
				0.13333	
3. FACTOR FOR IMPROPER DISTRIBUTION OF NOTICE					
# prospective w/ improper review	1	divide by # of prospective requests	10		
# concurrent w/ improper review	2	divide by # of concurrent requests	10		
# retrospective w/ improper review	3	divide by # of retrospective requests	10		
Totals	6	divide by	Totals	30	
				0.20000	
Performance Rating:				<b>82.2%</b>	

**Performance Rating for Investigation of Claims Administrator  
for Randomly Selected Utilization Review Requests**

Audit No: \_\_\_\_\_  
Subject: \_\_\_\_\_  
Location: \_\_\_\_\_

<b>1. FACTOR FOR TIMELY RESPONSE TO REQUEST</b>					
# late prospective responses		divide by # of prospective requests			
# late concurrent responses		divide by # of concurrent requests			
# late retrospective responses		divide by # of retrospective requests			
Totals	0	divide by	Totals	0	=
					<b>#DIV/0!</b>
<b>2. FACTOR FOR FAULTY NOTICE</b>					
# faulty prospective responses		divide by # of prospective requests			
# faulty concurrent responses		divide by # of concurrent requests			
# faulty retrospective responses		divide by # of retrospective requests			
Totals	0	divide by	Totals	0	=
					<b>#DIV/0!</b>
<b>3. FACTOR FOR IMPROPER DISTRIBUTION OF NOTICE</b>					
# prospective w/ improper review		divide by # of prospective requests			
# concurrent w/ improper review		divide by # of concurrent requests			
# retrospective w/ improper review		divide by # of retrospective requests			
Totals	0	divide by	Totals	0	=
					<b>#DIV/0!</b>
Performance Rating:					<b>#DIV/0!</b>

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